

APPENDIX

C Correspondence between this Standard, AS/NZS ISO 14001:1996 and AS/NZS ISO 9001:2000

(Informative)

AS/NZS 4801		AS/NZS ISO 14001		AS/NZS ISO 9001	
4.1	General requirements	4.1	General requirements	4.1	General requirements
4.2	OHS policy	4.2	Environmental policy	5.1	Management commitment
				5.3	Quality policy
				8.5	Improvement
4.3.1	Planning identification of hazards, hazard/risk assessment and control of hazards/risks	4.3.1	Environmental aspects	5.2	Customer focus
				7.2.1	Determination of requirements related to the product
				7.2.2	Review of requirements related to the product
4.3.2	Legal and other requirements	4.3.2	Legal and other requirements	5.2	Customer focus
				7.2.1	Determination of requirements related to the product
4.3.3	Objectives and targets	4.3.3	Objectives and targets	5.4.1	Quality objectives
4.3.4	OHS management plans	4.3.4	Environmental management programme(s)	5.4.2	Quality management system planning
				8.5.1	Continual improvement
4.4.1	Structure and responsibility		—	5.1	Management commitment
4.4.1.1	Resources			6.1	Provision of resources
				6.2	Human resources
				6.2.1	General
				6.3	Infrastructure
				6.4	Work environment
4.4.1.2	Responsibility and accountability	4.4.1	Structure and responsibility	5.5.1	Responsibility and authority
				5.5.2	Management representative

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AS/NZS 4801	AS/NZS ISO 14001	AS/NZS ISO 9001
4.4.2 Training and competency	4.4.2 Training, awareness and competence	6.2 Human resources 6.2.1 General 6.2.2 Competence, awareness and training
4.4.3 Consultation, communication and reporting	—	—
4.4.3.1 Consultation		
4.4.3.2 Communication	4.4.3 Communication	5.5.3 Internal communication 7.2.3 Customer communication
4.4.3.3 Reporting	—	—
4.4.4 Documentation	4.4.4 EMS documentation	4.2 Documentation requirements 4.2.1 General 4.2.2 Quality manual
4.4.5 Document and data control	4.4.5 Document control	4.2.3 Control of documents
4.4.6 Hazard identification, hazard/risk assessment and control of hazards/risks	4.4.6 Operational control	7 Product realization 7.1 Planning of product realization 7.2 Customer-related processes 7.2.1 Determination of requirements related to the product 7.2.2 Review of requirements related to the product 7.3 Design and development 7.3.1 Design and development planning 7.3.2 Design and development inputs 7.3.3 Design and development outputs 7.3.4 Design and development review 7.3.5 Design and development verification 7.3.6 Design and development validation 7.3.7 Control of design and development changes 7.4 Purchasing 7.4.1 Purchasing process 7.4.2 Purchasing information 7.4.3 Verification of purchased product

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AS/NZS 4801	AS/NZS ISO 14001	AS/NZS ISO 9001
		7.5 Production and service provision 7.5.1 Control of production and service provision 7.5.3 Identification and traceability 7.5.4 Customer property 7.5.5 Preservation of product 7.5.2 Validation of processes for production and service provision
4.4.7 Emergency preparedness and response	4.4.7 Emergency preparedness and response	8.3 Control of nonconforming product
4.5.1 Monitoring and measurement	4.5.1 Monitoring and measurement	7.6 Control of monitoring and measuring devices
4.5.1.1 General		8.1 General 8.2 Monitoring and measurement 8.2.1 Customer satisfaction 8.2.3 Monitoring and measurement of processes 8.2.4 Monitoring and measurement of product 8.4 Analysis of data
4.5.1.2 Health surveillance	—	—
4.5.2 Incident investigation, corrective and preventive action	4.5.2 Nonconformance and corrective and preventive action	8.3 Control of nonconforming product 8.5.2 Corrective action 8.5.3 Preventive action
4.5.3 Records and records management	4.5.3 Records	4.2.4 Control of records
4.5.4 OHSMS audit	4.5.4 EMS audit	8.2.2 Internal audit
4.6 Management review	4.6 Management review	5.6 Management review 5.6.1 General 5.6.2 Review input 5.6.3 Review output